| Yield <br> Type | Description | Valid Yield ${ }^{2}$ | Acres | Min | Max | Comments |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| A | Actual Yield | $>$ or $=$ zero | > zero | 1 | 10 | Can be combined with up to 9 years of any yield type other than S for a minimum of 4 years. ${ }^{1}$ |
| AY | Actual Yield which does NOT qualify for the APH yield adjustment election ( $60 \%$ Tyield substitution) | $>$ or $=$ zero | > zero | 1 | 10 | AY can be combined with up to 9 years of any yield type other than $S$ for a minimum of 4 years. ${ }^{1}$ |
| B | Assigned Yield for Pecans Only | > zero | = zero | 4 | 4 | Insured with less than 4 years actual records. No combination allowed. No previous approved yield. |
| C | P/T/V "T" Yield (simple average), <br> OR, <br> Determined Irrigated Yields for added Irrigated Practice. | > zero > zero | = zero =zero | 1 <br> 1 | 4 <br> 4 | 1 st year $=4 \mathrm{Cs}$, then, Can be succeeded by 1,2 or 3 years of yield type $\mathrm{A}, \mathrm{J}$ or P for a total of 4 years. <br> Can be succeeded by 1,2 or 3 years of yield type A, J, or $P$ for a total of 4 years. |
| E | 80\% of T Yield | T Yield * 0.80 | = zero | 3 | 3/4 | Must be succeeded by only 1 year of yield types A, J, or P. If yield indicator equals $L$ can have 4 years of yield type E. |
| F | FCIC RSO assigned yield | > zero | = zero | 1 | 4 | Can be succeeded by 1, 2, or 3 years of yield type A, J, or P for a total of 4 years. |
| H | Special T Yield for new producer | T Yield * 1.10 | = zero | 1 | 4 | 1,2 , or 3 years reported can be succeeded by A or J yield types. H's not allowed when more than 4 years are reported and not allowed in year 7,1998 , year 8,1999 , year 9,2000 or year 10, 2001. |


| I | Special T Yield for new producer | T Yield | = zero | 2 | 4 | 2 or 3 years reported can be succeeded by A or J yield types. No I with 3 A's. I's not allowed when more than 4 years are reported. |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Yield <br> Type | Description | Valid Yield ${ }^{2}$ | Acres | Min | Max | Comments |
| IL | Special T Yield for new producer on Added Land | T Yield | = zero | 2 | 4 | 2 or 3 years reported can be succeeded by A, AY, J or JY yield types. No IL with 3 A's. Ils not allowed when more than 4 years are reported. |
| J | Temporary Actual Yield | > or = zero | > zero | 1 | 1 | Can only be in year 10 when year 10 equals current year minus 1 , except crops with a lag year, current year minus 2. Must be preceded by 3 to 9 years of any other yield type other than $S$ for a minimum of 4 years. ${ }^{1}$ |
| JY | Temporary Actual Yield which does NOT qualify for the APH yield adjustment election (60\% T-yield substitution) | > or = zero | > zero | 1 | 1 | JY can only be in year 10 when year 10 equals current year minus 1 , except crops with a lag year, current year minus 2. Must be preceded by 3 to 9 years of any other yield type other than " $S$ " for a minimum of 4 years. ${ }^{1}$ |
| K | Personal Transition Yield (PTY) ONLY applicable to crops, with a $6 / 30 / 00$ contract change date in 5 Montana counties | Personal Transitional Yield (T Yield) | = zero | 1 | 4 | Can be succeeded by 0/1/2/3 years of yield type A, AY, J, JY, P or PY for a total of 4 years, if yield indicator $=\mathrm{K}$. If 4 Ks are used, the yield $=100 \%$ of the T yield (PTY). |
| L | A special yield if the database was established using the approved APH yield from a reference unit | Approved yield = Approved yield from reference unit | = zero | 1 | 4 | $\mathbf{1}^{\text {st }}$ year $=4 \mathbf{L} \mathbf{s}$, then, Can be succeeded by 1,2 or 3 years of yield type $\mathbf{A}, \mathbf{A Y}, \mathbf{J}$, JY, P or PY for a total of 4 years. |


| Yield <br> Type | Description | Valid Yield ${ }^{2}$ | Acres | Min | Max | Comments |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| N | 90\% of T Yield | T Yield * 0.90 | = zero | 2 | 2/3/4 | Can be suceeded by $1 / 2 / 3$ years with yield types of $\mathbf{A}$, AY, J, JY, P, PY, R or RY for a total of 4 years. If yield indicator equals $L$ can have 3 years of N with 1 year of yield types $\mathbf{A}, \mathbf{A Y}, \mathbf{J}$, JY, P or PY. If yield indicator equals BL, can have 2-4 years of N . |
| P | $75 \%$ of previous approved yield | Previous approved yield * 0.75 (round) | > zero | 1 | 10 | Previous approved yield required for $P$. Can be combined with up to 9 years of any yield type other than S for a minimum of 4 years. ${ }^{1}$ |
| PY | $75 \%$ of previous approved yield which does NOT qualify for the APH yield adjustment election (60\% T-yield substitution) | Previous approved yield * 0.75 (round) | > zero | 1 | 10 | Previous approved yield required for PY. Can be combined with up to 9 years of any yield type other than "S" for a minimum of 4 years. ${ }^{1}$ |
| R | Replicated Annual Yield (Dry Beans \& Sugar Beets Only) | $>$ or $=$ zero | > zero | 1 | 10 | Can be combined with up to 9 years of any yield type other than S for a minimum of 4 years. |
| RY | Replicated Annual Yield (Dry Beans \& Sugar Beets Only) which does NOT qualify for the APH Yield Adjustment Election (60\% T-Yield substitution) | $>$ or $=$ zero | > zero | 1 | 10 | Can be combined with up to 9 years of any yield type other than S for a minimum of 4 years. |
| S | 65\% of T Yield | T Yield * 0.65 | = zero | 4 | 4 | Insured with no records. No combinational allowed, other than Z. No previous approved yield, if all S's. |


| Yield <br> Type | Description | Valid Yield ${ }^{2}$ | Acres | Min | Max | Comments |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| T | Transitional Yield <br> Used for Added Land/PTV, that does not use a reference unit's approved yield. | T Yield | = zero | 1 | 4 | Can be succeeded by $1 / 2 / 3$ years of yield type $\mathbf{A}, \mathbf{A Y}, \mathbf{J}$, JY, P, PY, R or RY for a total of 4 years. If yield indicator, field 21, equals L, can have 1 or 2 years of yield type T with 2 or 3 years of yield type A, AY, J, JY, P or PY for a total of 4 years. If 4 T's are used, the yield $=100 \%$ of the Tyld. |
| X | 80\% of T Yield | T Yield * 0.80 | =zero | 4 | 4 | New insured with fed production and no records. No combination allowed other than Z. Cannot have an $X$ in 1997, 1998, 1999, 2000 or 2001 . This program is not available in 1998. |
| Z | Zero Acres Planted <br> (For Category C, with RSO approval only) | = zero | = zero | 1 | 6 | Can be combined with up to 4 to 9 years of any yield type. Any other yield type, cannot precede a blank. |
| Blank | No Yield | = zero | = zero | 1 | 6 | Can be combined with up to 4 to 9 years of any yield type. Any other yield type, cannot precede a blank. |

[^0]
## Valid Yield Types by Crop Category

Category B Crops:
A, AY, C, E, F, H, I, IL, J, JY, K, L, N, P, PY, R, RY, S, T, X, Z, and Blank

H- only applicable to database years 1997 and prior

```
March 11,2002
Exhibit 15-1

Category C Crops:
A, AY, B, C, E, F, J, JY, N, P, PY, S, T, Z, and Blank

Note: Annual Yields 1-10 must be in whole dollars for Avocados and Pecans.

Only valid yield types for California Avocados are: A, AY, F, P \& PY
Only valid yield types for Florida Avocados are: A, AY, C, E, F , I, J, JY, L, N, P, PY, S, T, Z and Blank.
Only valid types for Pecans are: As, AYs, As \& 1J, As \& 1JY or 4Bs.

Note: Producer History flag on Record 14, RMA Internal Use is populated with " \(Y\) " if history (premium and acreage) is found for the producer in RY2001. Populated with " \(N\) " if no history is found in RY2001. The record type 15 , will edit yield types of " \(I\) " in yield year 10, if yield year 10 is 2001, against the producer history flag. A yield type of " \(I\) " in yield year 10 (2001) will receive an error if the producer history flag is " \(Y\) ".

RY2001
Yield Year 7
Yield Year 8
Yield Year 9
Yield Year 10

Unit 00101
1997 I
1998 I
1999 I
2000 I

Unit 00102
1997 I
1998 I
1999 I
2000 I

RY2002
\begin{tabular}{lllllllll} 
Yield Year 6 & & & & & & 1997 & I & \\
Yield Year 7 & 1998 & I & 1997 & I & OR & 1998 & I & \(\mathbf{1 9 9 8}\)
\end{tabular} L or IL

Unit 00200 can be reported as Added Land (L) or as Added Land - New Producer (IL) dependent upon the situation and what the producer qualifies for.

\title{
YIELD LIMITATION EDITS CAPS-CUPS-FLOORS
}

CAPS - CUPS - FLOORS DO NOT APPLY TO IP OR INDEXED IP.

For IP AND INDEXED IP, Yield Limitation Flags 01, 04 AND 09, RATE YIELD Must Equal Approved Yield
Yield Limitation is calculated by line database.
If the Yield Limitation flag equals: 01
Average APH yield applies
Average APH yield falls between the cap and cup
There must be a previous approved yield
To calculate the Average APH yield:
Sum all annual yields - Divide by the number of years in the database that have yields or acres >0

Calculate the cup: Previous approved yield * . 9
Calculate the cap: Previous approved yield * 1.20

\section*{CAPS - CUPS}

CAPS \& CUPS REQUIRE A PREVIOUS APPROVED YIELD

\section*{If Yield Limitation flag equals: 02}

\section*{Capped yield applies **}

The Average APH Yield is greater than the Yield Limitation Cap
To calculate the Average APH Yield:
Sum all annual yields - Divide by the number of years in the database that have yields or acres >0

Calculate the CAP: Previous approved yield * 1.20

\section*{If Yield Limitation flag equals: 03}

\section*{Cupped yield applies *}

The Average APH Yield is less than the cupped yield.
To calculate the Average APH Yield:
Sum all annual yields - Divide by the number of years in the database that have
\begin{tabular}{lll|l|} 
\\
\hline
\end{tabular}
yields or acres >0.
Calculate the Cup: Previous approved yield * . 9
* IF YIELD LIMITATION FLAG = 03 THE TYPE 11 RECORD MUST HAVE A'Y' IN THE PREMIUM RATE SURCHARGE FIELD (FLD. 49) AND A 5\% SURCHARGE IS APPLIED TO CONTINUOUS-RATED AND NON CONTINUOUS-RATED CROPS (CATEGORY B AND THE FOLLOWING CATEGORY C CROPS: 0023, 0028, 0029, 0036, 0052, 0053, 0058, 0060, 0089, 0092, \(\mathbf{0 2 0 1}, 0202,0205,0206,0215,0216,0217,0218,0219,0220,0221,0222,0223,0224,0225,0226\), 0228, 0237, 0238

CUPPED, CAPPED OR PREMIUM RATE SURCHARGE DO NOT APPLY TO THE FOLLOWING CROPS: 0012 (Blueberries), 0034 (Peaches) AND 0054 (Apples).
**CAPPED YIELDS NO LONGER APPLY TO CATEGORY B, APH CROPS. SEE EXHIBIT 15-6.

\section*{NO YIELD LIMITATION APPLIES}

\section*{FOR THIS YIELD LIMITATION FLAG THE AVERAGE APH YIELD MUST BE GREATER THAN THE YIELD CAP OR LESS THAN THE YIELD CUP}

If the Yield Limitation flag equals: 04
Average APH yield applies
Cap/Cup do not apply
If there is a Previous Approved yield calculate the Cap/Cup
Calculate the Cup: Previous approved yield * . 9
Calculate the Cap: Previous approved yield * 1.20
If there is no Previous Approved yield calculate the Average APH yield only
To calculate the Average APH Yield:
Sum all annual yields - Divide by the number of years in the database that have yields or acres \(>0\)

\section*{YIELD FLOORS}

THERE MUST BE AT LEAST 1 YEAR IN THE DATABASE WITH AN ACTUAL YIELD TO QUALIFY FOR A YIELD FLOOR

A YIELD FLOOR CAN ONLY BE DETERMINED IF THERE IS A TRANSITIONAL YIELD >0

YIELD FLOORS DO NOT APPLY TO ‘CAT’ COVERAGE
IF THE YIELD IS FLOORED FOR CONTINUOUS-RATED CROPS, THE TYPE 11 RECORD MUST HAVE THE RATE FOR THE RATE YIELD.

FOR NON CONTINUOUS-RATED CROPS TYPE 11 RECORD MUST HAVE A 'Y' IN THE PREMIUM RATE SURCHARGE FIELD (FLD. 49) AND A 5\% SURCHARGE IS APPLIED TO THE PREMIUM CALCULATION.

PREMIUM RATE SURCHARGE DOES NOT APPLY TO: 0012 (Blueberries), 0034 (Peaches) AND 0054 (Apples).
*YIELD FLOORS FOR CAPPED YIELDS NO LONGER APPLY TO CATEGORY B, APH CROPS. SEE EXHIBIT 15-6.

\section*{YIELD FLOOR = TRANSITIONAL YIELD * YIELD FLOOR PERCENT}
\begin{tabular}{lcccc} 
YEARS OF ACTUAL YIELDS & \multicolumn{2}{c}{ YIELD FLOOR PERCENTEN OPTION (NEW)* } & FO OPTION (NEW)* \\
\cline { 4 - 5 } 1 YEAR & \(\mathbf{7 0 \%}\) & \(\mathbf{8 0 \%}\) & \(\mathbf{9 0 \%}\) \\
2 TO 4 YEARS & \(\mathbf{7 5 \%}\) & \(\mathbf{8 5 \%}\) & \(\mathbf{9 5 \%}\) \\
5 OR MORE YEARS & \(\mathbf{8 0 \%}\) & \(\mathbf{9 0 \%}\) & \(\mathbf{1 0 0 \%}\)
\end{tabular}
*These options are only available on Spring Wheat and Barley in Minnesota, North Dakota and South Dakota.

\section*{YIELD LIMITATION EDITS}

\section*{If the Yield Limitation flag equals: 05}

\section*{The Yield Floor applies}

The Yield Floor is greater than the Average APH yield
The Average APH yield falls between the Cap and Cup
There is a previous approved yield
There is an applicable T/yield \(>0\)
To calculate the Average APH yield:
Sum all annual yields - Divide by the number of years in the database that have yields and acres >0

Calculate the Yield Floor: T/yield * applicable percent based on the number of years of records the insured has provided.

\section*{If the Yield Limitation Flag equals: 06}

\section*{Yield floor applies *}

Yield Floor is greater than the capped yield
There is a previous approved yield
There is a \(\mathrm{T} / \mathrm{yield}>0\)
To calculate the Average APH Yield:
Sum all annual yields - Divide by the number of years in the database that have yields or acres \(>0\)

Calculate the Cap: Previous approved yield * 1.20
Calculate the Yield Floor: T/yld * applicable percent based on the number of years of actual records the insured has provided

\section*{If the Yield Limitation Flag equals: 07}

\section*{Yield Floor applies}

Yield Floor is greater than the cupped yield
There is a previous approved yield
There is a T/yield >0
To calculate the Average APH Yield:
Sum all annual yields - Divide by the number of years in the database that have yields or acres \(>0\)

Calculate the yield Cup: Previous approved yield * . 9

Calculate the Yield Floor: T/yld * applicable percent based on the number of years of actual records the insured has provided

\section*{If the Yield Limitation Flag equals: 08}

\section*{Yield Floor applies}

The Yield Floor is greater than the Average APH Yield
There is a previous approved yield but Cap/Cup do not apply: OR
There is no Previous approved yield
There is a T/yld >0
To calculate the Average APH Yield:
Sum all annual yields - Divide by the number of years in the database that have yields or acres \(>0\)

Calculate the Yield Floor: T/yld * applicable percent based on the number of years of actual records the insured has provided

\section*{If the Yield Limitation Flag equals: 09}

APH Adjustment Election (60\% T-Yield Substitution) applies:
There is a T-yield greater than zero
At least one actual yield (A, J, P or R) in the database qualifies for the \(60 \%\) yield substitution
All actual (unadjusted) yields in the database must be reported

\section*{For Continuous Rated crops:}

The simple average of all (unadjusted) yields in the database will be calculated and will be the Rate Yield
\(60 \%\) of the T-yield will be calculated and substituted for any actual yield (A, J, P or R that qualifies) that is less than \(60 \%\) of the T-yield (any unadjusted yield that does NOT qualify for substitution will be identified with a yield type of 'AY, JY, PY or RY')

The simple average of all yields in the database will be calculated again, including all substituted yields, and will be the Approved Yield

\section*{For NON-Continuous Rated crops:}
\(60 \%\) of the T-yield will be calculated and substituted for any actual yield (A, J, P or R that qualifies) that is less than \(60 \%\) of the T-yield (any unadjusted yield that does NOT qualify for substitution will be identified with a yield type of 'AY, JY, PY or RY')
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March 11, 2002

The simple average of all yields in the database will be calculated again, including all substituted yields, and will be the Approved Yield

The Rate Yield must equal the Approved Yield

## T15 EDIT FOR ADDED Practice/Type/Variety

If an APH crop database contains a yield type of " C " for any annual yield field, then a simple average T/yield is based on the average of all other units, for the crop, practice and type in the county. After the T/yield has been determined, calculate the approved yield by adding all of the yields in the database containing yield types other than " $Z$ ". Divide the total by the number of years with data other than " $Z$ ".

A Determined Irrigated Yield for an added Irrigated Practice also requires a yield type of "C". In this situation, there will be no edit to determine the value of each Annual Yield, however, the Annual Yields will be summed and divided by the number of yields to determine the Approved Yield. Field number 81, Number of Years with Actual Yields on Reference Records, would equal zero. Field number 21, Yield Indicator would have a "C" for this situation.

## T15 EDIT FOR ADDED LAND

If an APH crop database contains a yield type of "L" for any annual yield field and a yield indicator of "A", then an Added Land T/yield is based on the simple average of the existing optional units' approved APH yields within the basic unit structure of the crop in the county. After the T/yield has been determined, calculate the approved yield by adding all of the yields in the database containing yield types other than " $Z$ ". Divide the total by the number of years with data other than " $Z$ ".

If an APH crop database contains a yield type of " $L$ " for any annual yield field and a yield indicator of "AL", then an Added Land T/yield for Sugarcane is based on the simple average of the existing optional units" approved APH yields within the basic unit structure of the crop in the county. After the T/yield has been determined, calculate the approved yield by adding all of the yields in the database containing yield types other than " $Z$ ". Divide the total by the number of years with data other than " $Z$ ".

If an APH crop database contains a yield indicator of "B" or "C", then an Added Land T/yield is a variable T/yield. After the T/yield has been determined, calculate the approved yield by adding all of the yields in the database containing yield types other than " $Z$ ". Divide the total by the number of years with data other than ' Z ".

If an APH crop database contains a yield indicator of "BL" or "CL", then an Added Land T/yield for Sugarcane is a variable T/yield. After the T/yield has been determined, calculate the approved yield by adding all of the yields in the database containing yield types other than " $Z$ ". Divide the total by the number of years with data other than " $Z$ ".

## Determine the Yield Limitation flag by the following method:

If there is a previous approved yield calculate the "CAP" and "CUP":
The cup for the record $=$ Previous Approved yield * . 9
The cap for the record $=$ Previous Approved yield * 1.20

Table results of these calculations

Calculate the yield floor
Yield floor $=\mathrm{T} / \mathrm{yld} *$ applicable $\%$ (for the number of actual and/or assigned yields in the reference unit.)
(1) actual or assigned yield

Then multiply the T/yld by .70
The result must equal the approved yield if yield floor is applicable.
(2-4 yrs) actual or assigned yields
Then multiply the T/yld by .75
The result must be equal to the approved yield if the yield floor is applicable.
( $5+\mathrm{yrs}$ ) actual or assigned yields
Then multiply the T/yld by .80
The result must equal the approved yield if the yield floor is applicable.

Table results of yield floor calculation
Edit for correct yield limitation flag.

See M-13 yield limitation requirements.

## YIELD INDICATORS

YIELD INDICATOR $=\mathrm{A}$, ADDED LAND (Simple Average)

YIELD INDICATOR = AL, ADDED LAND FOR SUGARCANE WITH A LAG YEAR (Simple Average)

YIELD INDICATOR = B, ADDED LAND, Variable T-Yield

YIELD INDICATOR = BL, ADDED LAND FOR SUGARCANE WITH A LAG YEAR, Variable T-Yield

YIELD INDICATOR $=\mathrm{C}$, DETERMINED IRRIGATED YIELD FOR ADDED IRRIGATED PRACTICE OR A SPECIAL YIELD FOR ADDED P/T/V FROM A REFERENCE
UNIT. No edit for Annual Yields, Edit for Approved Yield.
YIELD INDICATOR = CL, ADDED LAND T-YIELD FOR SUGARCANE WITH A LAG YEAR, VARIABLE T-YIELD, EDIT VARIABLE T-YIELDS (S, E, N OR T) AND EDIT APPROVED YIELD.

YIELD INDICATOR = F, FCIC RSO ASSIGNED YIELD
This yield has been assigned by an RSO and cannot be validated.
YIELD INDICATOR $=\mathrm{K}$, PERSONAL TRANSITIONAL YIELD (PTY, instead of T Yield) Only applicable to 5 Montana counties. Not found on ADM.

YIELD INDICATOR $=$ L, LAG YEAR PLANTED FOR CROP 0038
YIELD INDICATOR = M, MASTER YIELD
This yield has been assigned by someone and cannot be validated.
YIELD INDICATOR $=\mathrm{W}$, TRANSITIONAL YIELD $($ FIELD 22 $)=$ Average of two or more Map Area T-yields. Not found on ADM.

## BLANK = NOT APPLICABLE

# AVOCADOS - (PLAN 46) <br> APPROVED YIELD CALCULATION 

CALCULATE THE AVERAGE REVENUE
CALCULATE THE COUNTY AVERAGE REVENUE

AVERAGE PER ACRE REVENUE $\div$ COUNTY AVERAGE PER ACRE
(REVENUE FROM 10YR DATABASE)
(FROM ADM-A-C REVENUE)
MULTIPLY BY THE LONG TERM AVERAGE REVENUE
(FROM ADM-A-C)

## 2002- ADDED LAND

| Yield <br> Indicator | Yeld <br> Type | Annual <br> Yld Edit | Approved <br> Yld Edit | No. Yrs with <br> Act. Ylds Ref <br> Recd |
| :--- | :--- | :--- | :--- | :--- |
| A | L | No | Yes | No |
| AL | L | No | Yes | No |
| B | S | Yes | Yes | No |
| B | E | Yes | Yes | Yes |
| B | N | Yes | Yes | Yes |
| B | T | Yes | Yes | Yes |
| BL | S | Yes | Yes | No |
| BL | E | Yes | Yes | Yes |
| BL | N | Yes | Yes | Yes |
| BL | T | Yes | Yes | Yes |
| C | S | Yes | Yes | No |
| C | E | Yes | Yes | Yes |
| C | N | Yes | Yes | Yes |
| C | T | Yes | Yes | Yes |
| CL | S | Yes | Yes | No |
| CL | E | Yes | Yes | Yes |
| CL | N | Yes | Yes | Yes |
| CL | T | Yes | Yes | Yes |
|  |  |  |  |  |

"A" Yield Indicator = Added Land, simple average of Approved Yield
"AL" Yield Indicator = Added Land, simple average of Approved Yields for Sugarcane with a Lag Year "B" \& "C" Yield Indicators = Added Land, Variable T-Yields

| March 11, 2002 | Exhibit 15-2 | FCIC-M13 |
| :--- | :--- | :--- | :--- |

"BL" \& "CL" Yield Indicators = Added Land, Variable T-Yields for Sugarcane with a Lag Year

## 2002- ADDED P/T/V

| Yield <br> Indicator | Yield <br> Type | Annual <br> Yld Edit | Approved <br> Yld Edit | No. Yrs with <br> Act. Ylds Ref Recd |
| :--- | :--- | :--- | :--- | :--- |
| C | C | No | Yes | No |
| Blank | C | No | Yes | No |
| Blank | S | Yes | Yes | No |
| Blank | E | Yes | Yes | Yes |
| Blank | N | Yes | Yes | Yes |
| Blank | T | Yes | Yes | Yes |

"C" Yield Indicator and "C" Yield Type = Determined Irrigated Yield for Added Irrigated Practice (same as 2000)
"Blank" Yield Indicator and "C" Yield Type = New Database (simple average of Approved Yields) not on Added Land
"Blank" Yield Indicator and "S, E, N or T" Yield Types = Added P/T/V, Variable T-Yields

## MAXIMUM YIELDS ALLOWED

## Applicable for Plan Codes:

25 Revenue Assurance
42 Income Protection
44 Crop Revenue Coverage
45 Indexed Income Protection
84 GYC Span Crops
86 GYC Crops
90 APH Crops
41 Pecans
46 Avocado Revenue Coverage (see perennial crop exception)

## Edits:

## 1. Lower Level Yield Validation Threshold: (DAS Error 15072)

The record will be rejected if the approved or any annual yield exceeds the lower level yield validation threshold. After review by an Insurance Provider underwriter, yield records with approved or annual yields that exceed the lower level validation threshold but do not exceed the maximum acceptable level for the state/county/crop/type/practice may be resubmitted to DAS with the Excessive Yield Edit Bypass flag set to "1". In accordance with the SRA requirement that data submitted to DAS be accurate, resubmission of yield records with the review indicator set is considered confirmation that an appropriate underwriting review has occurred and confirmed that the yields are reasonable and accurate.

## 2. Maximum Acceptable Yield: (DAS Error 15073)

Insurance provider verified yield records with approved or annual yields exceeding the maximum acceptable level will not be accepted by DAS, except as authorized by RMA. In such instances, verified yield records with approved or annual yields in excess of the maximum acceptable level may be submitted to the RMA Actuarial Division (AD). Include a copy of the underwriting review and supporting documentation, as well as Insurance provider certification of the accuracy of the yield, in the submission for review. AD in conjunction with the Product Development Division (PDD)will coordinate any yield reviews and with the applicable RMA Regional Office (RO), as necessary. If the edit is determined to be too low, the limit for the state/county/crop/type/practice may be increased and the Insurance Provider notified to resubmit the affected yield records. Conversely, if a yield is determined to be unacceptable, assigned yield and related procedures will apply.

## Perennial Crop Edits:

1. Maximum Acceptable Yield: (DAS Error 15073)

For perennial crops, RMA has established only a maximum acceptable yield level. Yield records with approved or annual yields exceeding the maximum acceptable level for the crop will not be accepted unless it is determined by RMA that the maximum acceptable level is not representative for the state. After the Insurance Provider verifies that yields exceeding the maximum acceptable level are accurate, the Insurance Provider may submit the yields through the AD for review. AD, in conjunction with PDD will coordinate any yield reviews with the applicable RO as necessary. If the maximum acceptable level is determined to be too low, the limit for the state/crop may be increased and the Insurance Provider notified to resubmit affected yield records. Conversely, if a yield is determined to be unacceptable, assigned yield and related procedures will apply.

RMA, Actuarial Division, will maintain a yield limit table providing the lower level validation thresholds and maximum acceptable edit levels by Crop/State/County/Type/Practice (by Crop/State for perennial crops). The yield limit table will be provided to the reinsured companies via the Reporting Organization (RO) Server on a weekly basis for DAS edits. The lower level yield validation thresholds and maximum acceptable edit levels are for internal insurance provider use and are not to be distributed for field usage. RMA may modify the yield limit table on a state/crop/county/type/practice basis based upon acceptable company documentation of yield validity.

| March 11, 2002 | Exhibit 15-3 |
| :---: | :---: |

Yield edits and yield reporting are not applicable for the following insurance plans in the 15 Record, however, ADM validations on maximum protection is performed in the company's Acreage and Loss submissions through DAS:

## Peanuts

GRP Crops
Guaranteed Production Tobacco
40 Florida Fruit Trees
50 Dollar Amount of Insurance Crops: Cherries (0057), Citrus \& Citrus Trees (0025, 0026, 0240-251), Forage Seeding (0032), FM Beans (0105), FM Sweet Corn (0044), FM tomatoes (0086), Macadamia Trees (0024), Peppers (0083), Raisins (0037) and Winter Squash (0065)
51 Fixed Dollar Amount of Insurance Crops
55 Yield Base Dollar Amount of Insurance
70 Quota Tobacco
73 GRIP Crops

The following plan codes do not contain edits as they are based on inventory records and established prices and/or insured's tax records:

43 Aquaculture Dollar: Clams (0116)
63 AGR
50 Dollar Amount of Insurance: Nursery (0073)

| March 11, 2002 | Exhibit 15-4 | FCIC-M13 |
| :--- | :---: | :---: |

## Crops Requiring a Type 15 (Yield) Record

| Plan Code | Crop Code | Crop |
| :---: | :---: | :---: |
| 25-Revenue Assurance | 0011 | Wheat |
|  | 0015 | Canola |
|  | 0041 | Corn |
|  | 0078 | Sunflowers |
|  | 0081 | Soybeans |
|  | 0091 | Barley |
| 41-Dollar Amount of Insurance | 0020 | Pecans |
| 42-Income Protection | 0011 | Wheat |
|  | 0021 | Cotton |
|  | 0041 | Corn |
|  | 0051 | Grain Sorghum |
|  | 0081 | Soybeans |
|  | 0091 | Barley |
| 44-Crop Revenue Coverage | 0011 | Wheat |
|  | 0018 | Rice |
|  | 0021 | Cotton |
|  | 0041 | Corn |
|  | 0051 | Grain Sorghum |
|  | 0081 | Soybeans |
| 45-Income Protection Indexed | 0041 | Corn |
|  | 0081 | Soybeans |
| 46-Dollar Amount of Insur. | 0019 | Avocados |
| 84-GYC Crops | 0053 | Grapes |

## Plan Code 86 - GYC Crops

## Crop Code and Crop

0054 Apples
0047 Dry Beans
0201 Grapefruit
0205 Kinnow Mandarins
0215 Navel Oranges
0217 Valencia Oranges
0224 E\&M Oranges
0226 All/O Grapefruit
0238 Rio Red\&Star Ruby
0033 Forage Production
0052 Table Grapes
0013 Onions
0089 Pears
0064 Green Peas
0084 Potatoes
0218 Fresh Apricots
0220 Fresh Nectarines
0222 Proc Freston Peaches
0072 Cabbage
0055 Cultivated Wild Rice

## 90 - APH Crops

| 0107 Alfalfa Seed | 0017 Millet |
| :--- | :--- |
| 0028 Almonds | 0074 Mint |
| 0019 Avocados (Fl) | 0069 Mustard |
| 0091 Barley | 0016 Oats |
| 0042 C\&P Sweet Corn | 0034 Peaches |
| 0015 Canola | 0043 Popcorn |
| 0041 Corn | 0084 Potatoes |
| 0021 Cotton | 0087 Proc Tomatoes |
| 0068 Crambe | 0018 Rice |
| 0058 Cranberries | 0094 Rye |
| 0047 Dry Beans | 0049 Safflowers |
| 0067 Dry Peas | 0081 Soybeans |
| 0022 ELS Cotton | 0039 Sugar Beets |
| 0031 Flax | 0038 Sugarcane |
| 0086 FM\&GP Tomatoes | 0078 Sunflowers |
| 0033 Forage Production | 0085 Sweetpotatoes |


| March 11, 2002 | Exhibit 15-4 |
| :---: | :---: |


| 0051 Grain Sorghum | 0011 Wheat |
| :--- | :--- |
| 0232 Tobacco | 0234 Tobacco |
| 0235 Tobacco | 0236 Tobacco |


| March 11, 2002 | Exhibit 15-5 | FCIC-M13 |
| :---: | :---: | :---: | :---: |

## INDEXED INCOME PROTECTION YIELDS

Example 1: Producer's average yield is lower than the County average yield.

| Year | Producer's <br> Yield | County <br> Yield |
| :--- | :--- | :--- |
| 1997 | 46 | 48 |
| 1998 | 30 | 26 |
| 1999 | 42 | 50 |
| 2000 | 0 | 21 |
| 2001 | $\underline{54}$ | $\underline{49}$ |
|  | $172 / 5=$ | $194 / 5=$ |
| Average | $\mathbf{3 4}$ | $\mathbf{3 9}$ |

County Average - Producer's Average = Index

```
    39 - 34 = 5
```

2001 County Yield $=49$
2001 Expected County Yield - Index = Approved (Indexed) Yield
$49-5=44$

Example 2: Producer's average yield is $\underline{\text { higher }}$ than the County average yield.

|  | Producer's | County |
| :---: | :---: | :---: |
| Year | Yield | Yield |
| 1997 | 73 | 64 |
| 1998 | 72 | 64 |
| 1999 | 71 | 63 |
| 2000 | 70 | 62 |
| 2001 | $\underline{69}$ | $\underline{62}$ |
|  | 355/5= | 315/5= |
| Average | 71 | 63 |


| March 11, 2002 | Exhibit 15-5 | FCIC-M13 |
| :--- | :---: | :---: |

County Average - Producer's Average = Index
$63-71=(-8)$

2001 County Yield $=62$

2001 County Yield - Index = Approved (Indexed) Yield
62
$(-8)=70$

| March 11, 2002 | Exhibit 15-6 |
| :---: | :---: |

## Category B - APH Crops that No Longer Have CAPPED YIELDS

If these crops have an Approved Yield that is Greater than OR Equal to the Previous Approved Yield times .9, then the Yield Limitation Flag Will be " 1 ".

## (CUPPED YIELDS \& YIELD FLOORS DO APPLY)

Crop Code
0091
0015
0041
0021
0022
0068
0047
0067
0031
0033
0051
0064
0017
0074
0069
0016
0013
0043
0084
0046
0042
0087
0018
0094
0049
0081
0039
0038
0078
0085
0232
0234
0235
0236
0086
0011
0055

Crop
Barley
Canola
Corn
Cotton
ELS Cotton
Crambe
Dry Beans
Dry Peas
Flax
Forage Production
Grain Sorghum
Green Peas
Millet
Mint
Mustard
Oats
Onions
Popcorn
Potatoes
Proc Beans
Proc Sweet Corn
Proc Tomatoes
Rice
Rye
Safflowers
Soybeans
Sugar Beets
Sugarcane
Sunflowers
Sweet Potatoes
Tobacco
Tobacco
Tobacco
Tobacco
Tomatoes, Fresh Market Guaranteed Production
Wheat
Wild Rice


[^0]:    ${ }^{1}$ Apples \& Peaches require only 5 years.
    ${ }^{2}$ T Yield from ADMY.

